

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

UNITED STATES OF AMERICA

v.

ROGER KNOX,

Defendant.

Criminal No. 18-10385-NMG

CONSENT ORDER FOR TRANSFER OF ASSETS

This matter comes before the Court upon the consent of the United States and the Defendant, Roger Knox (“Knox”) to allow Knox to transfer assets held in account in the name of Silverton SA/Wintercap SA at Peter Pesic & Co. Securities (Mauritius) (“Pesic”) ending in xC017 to the custody of the United States Marshal Service to maintain pending forfeiture proceedings in this case.

On January 13, 2020, at a hearing pursuant to Rule 11 of the Federal Rules of Criminal Procedure, the Defendant pled guilty to Counts One and Two of the Indictment, pursuant to a written plea agreement that he signed on September 13, 2019. At the January 13, 2020, Rule 11 Hearing, the Court accepted the Defendant’s plea. In his plea agreement, Knox agreed to forfeit the Pesic account in the name of Wintercap SA ending in xC017 subject a reservation allowing him to present evidence, prior to sentencing, demonstrating that the assets held in this account are not subject to forfeiture. Knox also agreed to assist the United States in re-patriating assets sufficient to satisfy the money judgment agreed upon in the plea agreement and to assist in re-patriating assets listed in the plea agreement to the United States. Docket No. 101.

Accordingly, the United States and Knox agree that Knox shall execute any directives or other documentation necessary to transfer any and all funds and/or equities in the Pesic account(s)

(the "Assets") to the custody and control of the United States Marshals Service pursuant to instructions provided by the United States Attorney's Office and the United States Marshals Service. The United States Marshals Service shall maintain the Assets pending a determination as to their forfeitability and further order of this Court.

ACCORDINGLY, it is hereby ORDERED that:

1. The United States Marshals Service shall maintain the Assets pending a determination as to their forfeitability and further order of this Court.

Dated: 07/09/2021

Nathaniel M. Gorton
NATHANIEL M. GORTON
United States District Judge

Consented to:

NATHANIEL R. MENDELL
Acting United States Attorney

ROGER KNOX,
Defendant

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Dated: July 6, 2021